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|---|-----------------------|----------------|--------------|-------------------------------|------------------|
| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97(b) or 1.97(c))   |                       |                |              | Docket No.<br><b>147201-1</b> |                  |
| In Re Application Of: <b>Srinivasan et al</b>   |                       |                |              |                               |                  |
| Application No.   | Filing Date           | Examiner       | Customer No. | Group Art Unit                | Confirmation No. |
| <b>10/815,880</b>   | <b>March 31, 2004</b> | <b>EMERSON</b> | <b>43248</b> | <b>1711</b>                   | <b>9067</b>      |
| Title: <b>Methods For Producing And Purifying 2-Hydrocarbyl-3,3-Bis(4-Hydroxyaryl) Phthalimidine Monomers And Polycarbonates Derived Therefrom</b>  |                       |                |              |                               |                  |
| <div style="text-align: center;"> <p>Address to:</p> <p><b>Commissioner for Patents</b><br/> <b>P.O. Box 1450</b><br/> <b>Alexandria, VA 22313-1450</b></p> </div> <div style="text-align: center; margin-top: 10px;"> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px;"> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin: 10px 0;"><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> </div> </div> </div> |                       |                |              |                               |                  |

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

147201-1

In Re Application:

Srinivasan et al.

JUN 03 2005

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Application No.

10/815,880

Filing Date

March 31, 2004

Examiner

Customer No.

43248

Group Art Unit

1711

Confirmation No.

9067

Title: **Methods For Producing And Purifying 2-Hydrocarbyl-3,3-Bis(4-Hydroxyaryl) Phthalimidine Monomers And Polycarbonates Derived Therefrom**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
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(Date)

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Angela Singleton

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Dated: June 1, 2005

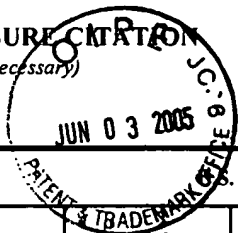
Signature

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CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



|   |  |   |  |
|---|--|---|--|
| Docket Number (Optional)<br><b>147201-1</b> |  | Application Number<br><b>10/815,880</b> |  |
| Applicant(s)<br><b>Srinivasan et al.</b>    |  |   |  |
| Filing Date<br><b>March 31, 2004</b>        |  | Group Art Unit<br><b>1711</b>           |  |

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
|                      |     |                 |      |      |       |          |                               |
|                      |     |                 |      |      |       |          |                               |
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## U.S. PATENT APPLICATION PUBLICATIONS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
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## FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------------|---------|-------|----------|-------------|----|
|  |     |                 |            |         |       |          | YES         | NO |
|  |     | JP 06-003838    | 01-14-1994 | Japan   | G03G  | 5/05     | ✓           |    |
|  |     | JP 06-082624    | 03-25-1994 | Japan   | G03G  | 5/05     | ✓           |    |
|  |     | JP 2820277 B2   | 03-26-1991 | Japan   | C09K  | 11/06    | ✓           |    |
|  |     |                 |            |         |       |          |             |    |
|  |     |                 |            |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | DATE CONSIDERED |
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